

UNITED STATES DISTRICT COURT
DISTRICT OF OREGON
PORTLAND DIVISION

SUSAN DAVIS, NO. 3:12-cv-00635-HU

Plaintiff,

NO. 3:12-cv-00635-HU

FINDINGS AND RECOMMENDATION

v.

STATE OF OREGON, DEPARTMENT OF
HEALTH AND HUMAN SERVICES, and
KOREN BROOKS, in her individual
capacity,

Defendants.

Beth Creighton
Michael E. Rose
Creighton & Rose, P.C.
500 Yamhill Plaza Building
815 S.W. Second Avenue
Portland, OR 97204

Attorneys for Plaintiff

Ellen F. Rosenblum
Attorney General
Steven M. Lippold
Senior Assistant Attorney General
Department of Justice
1162 Court Street NE
Salem, OR 97301-4096

Attorneys for Defendants

1 HUBEL, Magistrate Judge:

2 Plaintiff Susan Davis ("Plaintiff") brings this action against
 3 Defendants Oregon Department of Human Services ("the State") and
 4 Koren Brooks ("Brooks") (collectively, "Defendants"), her former
 5 employer and supervisor, respectively, alleging claims for
 6 intentional infliction of emotional distress ("IIED"), violation of
 7 the Family and Medical Leave Act of 1993 ("FMLA"), 29 U.S.C. §§
 8 2601-2654, and violation of Plaintiff's substantive due process
 9 rights under the Fourteenth Amendment of the United States
 10 Constitution. Defendants now moves, pursuant to Federal Rule of
 11 Civil Procedure ("Rule") 56(c), for summary judgment on all of
 12 Plaintiff's claims. The Court heard argument on the pending motion
 13 on February 12, 2014. Having considered the parties' motion
 14 papers, pleadings and arguments, the Court recommends that
 15 Defendants' motion (Docket No. 44) for summary judgment be granted
 16 in part and denied in part.

17 **I. FACTS AND PROCEDURAL HISTORY**

18 Upon being placed in the custody of the State, a number of
 19 medically fragile individuals, both children and adults, reside in
 20 state-operated group homes.¹ (Augsburger Decl. at 1.) The State
 21 is required to employ caregivers—classified as Habilitative
 22 Therapy Technicians or HTT's—to assist these medically fragile
 23 clients with their activities of daily living. (Augsburger Decl.
 24 at 1; Defs.' Mem. Supp. at 2.) There are also group homes for
 25 clients who exhibit violent and/or aggressive behavior due to

27 ¹ The Court will refer to the individuals who have been placed
 28 in the custody of the State as "clients," consistent with the
 parties' briefing.

1 mental health conditions and are deemed to pose a danger to
2 themselves or others. (Augsburger Decl. at 1-2.) The caregivers
3 that work with these clients are classified as Mental Health
4 Therapy Technicians or MHTT's. (Augsburger Decl. at 2.)

5 At some time during 2007, an opening for an HTT was listed on
6 the State's website and Plaintiff was hired to fill the position.
7 (Davis Dep. 73:7-12, July 30, 2013.) Plaintiff understood that she
8 would be working with medically fragile clients and hands-on care-
9 giving would be required. (Davis Dep. 73:12-74:1, 75:6-24.)
10 Roughly two years later, the State decided to transition a group
11 home known as the Madison House into a facility for violent and/or
12 aggressive clients based on need. (Augsburger Decl. at 2.) Since
13 "[c]aregivers working in the new Madison House had to be classified
14 as [MHTT's]" (Augsburger Decl. at 2; Davis Dep. 90:11-16),
15 Plaintiff and the other HTT's currently working at the Madison
16 House were given the option of relocating to a group home for
17 medically fragile individuals or being promoted to an MHTT upon
18 completion of the necessary training (Augsburger Decl. at 2; Davis
19 Dep. 90:22-91:6).

20 The HTT's were educated on the characteristics of the violent
21 and/or aggressive clients prior to the transition (Davis Dep. 88:7-
22 22; Kamanda Dep. 15:2-15, Oct. 28, 2013), and Plaintiff was well
23 aware that the Madison House's new clientele would be quite
24 different from the medically fragile clients she had previously
25 treated (Davis Dep. 89:3-7). In fact, a meeting was held where the
26 State discussed each client's paperwork, medical history,
27 diagnoses, issues, behaviors, and "things to look for" with the
28 HTT's. (Davis Dep. 89:9-17; Kamanda Dep. 15:10-15.) Plaintiff

1 understood that MHTT's could be attacked by their clients, and she
2 was even told a story about a man who ripped the lid off of a
3 washer/dryer and beat a fellow client. (Davis Dep. 88:22-25,
4 89:18-25.)

5 Plaintiff ultimately chose to accept the promotion to MHTT and
6 accompanying wage increase. (Davis Dep. 92:19-24.) Plaintiff
7 signed a job description indicating that the MHTT position "would
8 include working with combative, unpredictable, argumentative,
9 aggressive, and developmentally disabled children or adults who may
10 be a danger to themselves or others on a daily basis" (Davis Dep.
11 91:21-24, 92:9-15), and she understood that "was part of [the] job"
12 (Davis Dep. 92:16-18). As part of her training for the MHTT
13 position, Plaintiff learned about each of the clients that would be
14 moving into the Madison House (Davis Dep. 93:4-7), and the overall
15 understanding was that all of the new clientele could potentially
16 target an MHTT—which "at times meant hitting, hair pulling,
17 kicking, [and] biting" (Davis Dep. 94:1-9).

18 While Plaintiff's MHTT job description was dated February 6,
19 2010 (Davis. Dep. 91:16-24), the record suggests that: (1) the
20 Madison House's new clientele arrived as early as December 2009
21 (Creighton Decl. Ex. 17 at 2); (2) a number of HTT's, including
22 Plaintiff, worked with the new clientele for at least a month
23 despite their classification (Second Augsburger Decl. Ex. A at 1-
24 20); and (3) Plaintiff did not refer to herself as an MHTT until at
25 least February 13, 2010 (Creighton Decl. Ex. 10 at 1, Ex. 11 at 1;
26 Second Augsburger Decl. Ex. A at 22, 26, 35). It is hard to
27 reconcile this with the State's representation that "caregivers
28

1 working in the new Madison House had to be classified as [MHTT's]."
2 (Augsburger Decl. at 2.)

3 Notably, however, Plaintiff's training included material on
4 S.B., a "very aggressive" minor whose paperwork indicated that she
5 "generally had a staff [member] that she targeted." (Davis Dep.
6 93:3-19; Creighton Decl. Ex. 2 at 1-2; Kamanda Dep. 15:2-15.) More
7 specifically, S.B.'s paperwork indicated that: (1) her physical
8 aggression could be quite severe and intense; (2) she had a history
9 of hitting (e.g., with fists and heavy objects, such as phones),
10 kicking, biting and pulling the hair of peers and staff; and (3)
11 she would "specifically target people and ha[d] worked with other
12 clients to hold staff and aggress towards them." (Creighton Decl.
13 Ex. 2 at 2, 4.)

14 The record suggests the post-transition Madison House
15 generally consisted of four female clients split among the two
16 sides of the house based on age (Davis Dep. 115:17-23, 148:6-22),
17 and, depending on the shift, there were four or five caregivers
18 assigned to those clients (Augsburger Dep. 16:14-17:11, Oct. 25,
19 2013; Davis Dep. 125:23-24; Second Augsburger Decl. at 1). More
20 recently, however, the State has used temporary and overtime
21 employees to increase the Madison House's daily staffing levels
22 because there were two clients who required a higher caregiver-to-
23 staff ratio (2:1 instead of 1:1). (Augsburger Dep. 27:13-22, 28:6-
24 9.) One of those clients moved to the Madison House and the other
25 was increased to a 2:1 ratio due to self-injurious behavior.
26 (Augsburger Dep. 27:23-28:2.) The Madison House's daily staffing
27
28

1 levels were sufficient "until the second person moved in."²
 2 (Augsburger Dep. 28:6-8.)

3 S.B. transferred to the Madison House in December of 2009, but
 4 the move proved to be difficult and she "became very physically
 5 aggressive on numerous occasions." (Creighton Decl. Ex. 17 at 2.)
 6 For example, S.B. targeted Plaintiff, who was still classified as
 7 an HTT at the time, with physical aggression and kicking on the
 8 evenings of December 28 and December 29, 2009.³ (Creighton Decl.
 9 Ex. 5 at 1, Ex. 6 at 1.) On January 9, 2010, S.B. had to be
 10 restrained by four individuals after attempting to assault various
 11 staff members with a metal window screen, punches, kicks, a large
 12 rock, and her own saliva. (Creighton Decl. Ex. 7 at 1-2; Second
 13 Augsburger Decl. Ex. A at 8-9.) S.B. pulled Plaintiff's hair and
 14 punched her in the face, resulting in a scratch to her cheek and
 15 eye lid. (Creighton Decl. Ex. 7 at 2.)

16 On January 11, 2010, the site manager at Madison House
 17 explained to S.B. and the caregivers that shifting assignments had
 18 to be followed, meaning clients could not request "preferred
 19 staff." (Creighton Ex. 7 at 4.) Six days later, on January 17,
 20 2010, S.B. had to be restrained after she began to push, hit, kick
 21 and spit at various staff members, including Plaintiff who was not
 22

23 ² At some unidentified time, a "panic bracelet"—that is, a
 24 wristband with a button, presumably used to alert other staff
 25 members when assistance was needed—was also introduced at the
 Madison House. (Jackson Dep. 49:19-24, Oct. 28, 2013; Augsburger
 Dep. 75:2-14.)

26 ³ Plaintiff was not the MHTT assigned to S.B. when the
 December 29th incident occurred. (Creighton Decl. Ex. 6 at 1.)
 27 Unless otherwise noted, the events described from this time forward
 28 pertain only to days where S.B. and Plaintiff were assigned to work
 together.

1 assigned to S.B. at the time. (Creighton Ex. 8 at 1; Second
2 Augsburger Decl. Ex. A at 12.) The behavior specialist
3 characterized the incident as a "common behavior demonstrated by
4 [S.B.] almost since her initial move to the Madison [House]." (Creighton Decl. Ex. 8 at 3.)

5 On January 19, 2010, S.B. had a relatively brief, verbal
6 outburst of profane language, but was otherwise pleasant for the
7 remainder of Plaintiff's shift. (Second Augsburger Decl. Ex. A at
8 13-14.) One week later, on January 26, 2010, S.B. became
9 frustrated and angry when there was some confusion over staffing
10 assignments (Creighton Decl. Ex. 9 at 1; Second Augsburger Decl.
11 Ex. A at 17-18), and she eventually had to be restrained after,
12 among other things, physically attacking Plaintiff and other staff
13 members (Creighton Decl. Ex. 9 at 1, 5). Two days later, on
14 January 28, 2010, the behavior specialist notified the State that
15 it took five people to restrain S.B., a show of police force was
16 utilized and "certain staff d[id] not meet [the] proper
17 height/weight ratio [to physically restrain S.B.]." (Creighton
18 Decl. Ex. 9 at 4.)

19 The signatures affixed to the State's records suggest Brooks
20 first became the site manager at the Madison House at or around the
21 beginning of February 2010. (Creighton Decl. Ex. 9 at 4, Ex. 10.
22 at 3, Ex. 18 at 1.) S.B. exhibited physical aggression (kicking,
23 spitting and punching) toward Plaintiff on February 2, 2010.
24 (Creighton Decl. Ex. 10 at 1; Second Augsburger Decl. Ex. A at 22.)
25 Three days later, Brooks noted that "staffing ha[d] been
26 [temporarily] raised to help with future incidents of this sort."
27 (Creighton Decl. Ex. 10 at 3; Augsburger Dep. 15:13-16:17.)

1 Plaintiff signed the MHTT job description the following day. (Davis
 2 Dep. 91:16-24.) Plaintiff's first assignment with S.B. as an MHTT
 3 occurred one week later, on February 13, 2010, and S.B. exhibited
 4 physical aggression once again (kicking, punching, spitting and
 5 hair pulling). (Creighton Decl. Ex. 11 at 1; Second Augsburger
 6 Decl. Ex. A at 26.)

7 On March 10, 2010, Brooks met with Plaintiff to discuss
 8 certain performance issues and Plaintiff expressed "concerns over
 9 wanting to work only with certain clients." (Creighton Decl. Ex.
 10 18 at 1; Davis Decl. ¶ 10; Brooks Decl. at 1.) Essentially,
 11 Plaintiff wanted to work with S.B. less often because their
 12 interactions were unhealthy and posed an unnecessary risk of
 13 injury. (Davis Dep. 109:5-12, 111:8-14, 115:6-9.) Brooks told
 14 Plaintiff that she had to follow staffing assignments and "if [she]
 15 could not handle [the] job, perhaps [she] could work elsewhere."
 16 (Davis Decl. ¶ 11; Davis Dep. 109:9-12; Creighton Decl. Ex. 18 at
 17 1.) Whether that meant "elsewhere" with the State or with another
 18 employer is not discussed in this record.

19 The record also suggests that Brooks may have spoken with her
 20 supervisor, Anne Augsburger ("Augsburger"), around this time and
 21 was told "everybody needs to be able to work with everybody in the
 22 house." (Augsburger Dep. 6:3-9, 66:25-67:21.) At some
 23 unidentified time prior to taking FMLA-related leave, Brooks
 24 apparently agreed to honor Plaintiff's request to put "a bigger
 25 staff" member on S.B.'s side of the Madison House. (Davis Dep.
 26 111:4-6, 125:5-126:6; Davis Decl. ¶ 13.)

27 Plaintiff's next five assignments with S.B. occurred on March
 28 10, March 22, April 20, May 9 and June 6, 2010, and S.B. was

1 polite, respectful and well behaved throughout each swing shift.
2 (Second Augsburger Decl. Ex. A at 35, 41, 53, 61, 68.) On June 8,
3 2010, however, S.B. stated that she was going to kill Plaintiff,
4 slapped her and tore some of her hair out. (Creighton Decl. Ex. 12
5 at 1; Second Augsburger Decl. Ex. A at 69.) Five days later, on
6 June 13, 2010, S.B. exhibited physical aggression towards Plaintiff
7 (hair pulling, punching and throwing a large cooler) and another
8 staff member (throwing a small cooler). (Creighton Decl. Ex. 14 at
9 2; Second Augsburger Decl. Ex. A at 71-72.)

10 S.B.'s violence escalated on July 19, 2010, when she
11 physically attacked Plaintiff and her assigned client, M.M., who
12 resided on the same side of the Madison House. (Creighton Decl.
13 Ex. 15 at 1; Davis Dep. 111:8-20, 115:17-23.) Plaintiff initially
14 took M.M. outside after S.B. became verbally abusive and struck
15 M.M. on the head. (Davis Dep. 115:24-116:23.) When they returned,
16 S.B. was sitting on a couch and told Plaintiff not to look at her.
17 (Creighton Decl. Ex. 15 at 1.) After Plaintiff responded to the
18 statement, S.B. came barreling down the hallway and M.M. ran into
19 a corner behind Plaintiff. (Davis Dep. 123:2-19; Creighton Decl.
20 Ex. 15 at 1.)

21 As S.B. proceeded towards M.M., Plaintiff stood in between the
22 two clients with her hands up in front of S.B., even though
23 Plaintiff was not trained to react in that manner. (Davis Dep.
24 124:3-17, 125:5-8.) S.B. made a few attempts to strike M.M. and
25 then took Plaintiff to the ground and punched her in the face
26 repeatedly. (Davis Dep. 125:10-12, 127:7-15.) While Plaintiff was
27 being attacked, S.B.'s assigned caregiver, Dequinta Kamanda
28 ("Kamanda"), removed M.M. from the area and verbally instructed

1 S.B. to stop. (Davis Dep. 125:13-127:17; Second Augsburger Decl.
 2 Ex. A at 82; Creighton Decl. Ex. 15 at 1-3.) Although Plaintiff
 3 cannot recall how they were separated (Davis Dep. 128:15-17), the
 4 incident report completed by Kamanda and a fellow MHTT, Lester
 5 Jackson ("Jackson"), only references one unsuccessful attempt at
 6 protective physical intervention. (Creighton Decl. Ex. 15 at 1, 4-
 7 5.) That attempt at using a "belt shirt" was made by Jackson and
 8 it appears to have occurred when S.B. was no longer attacking
 9 Plaintiff. (Creighton Decl. Ex. 15 at 3-5.)

10 Almost immediately after the attack, Plaintiff went to an
 11 emergency room for treatment of contusions and an apparent broken
 12 nose (Davis Decl. ¶ 4; Jackson Dep. 50:10-12; Creighton Decl. Ex.
 13 15 at 2, Ex. 17 at 3), and pressed charges (assault in the fourth
 14 degree) against S.B., who was taken to juvenile hall for assessment
 15 and released a few hours later (Creighton Decl. Ex. 15 at 2; Second
 16 Augsburger Decl. Ex. A at 82).⁴

17
 18 _____
 19 ⁴ In support of her claim that the allegedly tortious conduct
 20 went beyond the farthest reaches of socially tolerable behavior,
 21 Plaintiff emphasizes that the State asked her to fill out an injury
 22 report and had Kamanda deliver the report to her house at an
 23 unspecified time. (Davis Decl. ¶¶ 5-6; Pl.'s Opp'n at 10, 32.)
 24 Kamanda had previously been placed on administrative leave and was
 25 required to undergo training on maintaining boundaries with clients
 26 based on a complaint filed by Plaintiff, presumably at some time
 27 prior to the July 19, 2010 incident. (Kamanda Dep. 8:12-14, 9:6-
 28 16, 11:17-25; Augsburger Dep. 80:11-81:6.) Because these
 allegations were not pled in the complaint, they will not be
 considered at this late juncture in the proceedings. See *Speer v.
 Rand McNally & Co.*, 123 F.3d 658, 665 (7th Cir. 1997) ("A plaintiff
 may not amend his complaint through arguments in his brief in
 opposition to a motion for summary judgment"); *Wasco Prods. v.
 Southwall Techs., Inc.*, 435 F.3d 989, 992 (9th Cir. 2006) ("summary
 judgment is not a procedural second chance to flesh out inadequate
 pleadings").

1 The State ultimately approved FMLA leave beginning on July 19,
2 2010, and Plaintiff requested that she never be assigned to work
3 with S.B. in future. (Davis Decl. ¶ 14; Davis Dep. 115:6-11;
4 Creighton Decl. Ex. 22 at 1.) According to the approval letter
5 dated August 17, 2010, the State determined that Plaintiff's
6 request for leave qualified under the FMLA for a pending State
7 Accident Insurance Fund ("SAIF") claim. (Creighton Decl. Ex. 22 at
8 1.) The record suggests that Plaintiff filed a workers'
9 compensation claim, requested and took leave on the day of the
10 attack, July 19, 2010. (Davis Decl. ¶ 14.)

11 Plaintiff returned from her first period of FMLA leave on
12 September 6, 2010 (Davis Decl. ¶ 14), and Brooks "was directed to
13 not assign her to work with S.B. while she settled back into her
14 position" (Brooks Decl. at 1). Six days later, on September 12,
15 2010, Plaintiff suffered a major anxiety attack at work (Davis
16 Decl. ¶ 15), but there is no indication that she was assigned to
17 work with S.B. during this time period. Soon thereafter, Plaintiff
18 provided the State with a certification from a health care
19 provider, indicating that she would be totally disabled from
20 September 12, 2010, through October 11, 2010. (Creighton Decl. Ex.
21 21 at 1.)

22 When Plaintiff returned from the second period of FMLA leave
23 on October 16, 2010, she initially did not work on S.B.'s side of
24 the Madison House. (Davis Decl. ¶ 16.) That was consistent with
25 Plaintiff's understanding that she "would not be reassigned to
26 working in close proximity to S.B., which [wa]s one of the reasons
27 [she] agreed to return to the Madison House." (Davis Decl. ¶ 16.)
28 Plaintiff was aware, however, that she "moved around from different

1 clients . . . [and even on] the days [she] wasn't working with
2 [S.B.] and . . . happened to be in the [area], [S.B.] would still
3 attack [Plaintiff]." (Davis Dep. 106:20-25.) A few minutes prior
4 to her shift on October 20, 2010, Plaintiff learned that she had
5 been assigned to work with S.B. by Brooks yet again. (Davis
6 Decl. ¶ 17; Augsburger 14:11-19, 72:18-20.)

7 Brooks claims that Augsburger, who oversees thirteen state-
8 operated group homes and their site managers (Augsburger Dep. 6:3-
9), "instructed [her] that [Plaintiff] had to return to a normal
10 work rotation which would include periodic assignments to work with
11 S.B." (Brooks Decl. at 1.) This occurred within a few days of
12 Plaintiff's return to work. According to Augsburger, there were
13 discussions regarding Plaintiff's future assignments, and she was
14 told by the director, Deanna Bathke ("Bathke"), and human resources
15 manager, Terri Millsap ("Millsap"), to not schedule S.B. with
16 Plaintiff while her second leave request was being investigated.
17 (Augsburger Dep. 10:5-9, 69:18-70:10, 71:13-72:1; Creighton Decl.
18 Ex. 19 at 1.) While the timing is unclear, once that was resolved,
19 Augsburger inquired about Plaintiff's status and Bathke informed
20 Augsburger that the scheduling restriction was no longer in effect,
21 which meant that Plaintiff could work with any of the Madison
22 House's clients. (Augsburger Dep. 70:17-71:11.) Augsburger claims
23 that she relayed that information to Brooks, but she did not
24 require that Brooks assign Plaintiff to work with S.B. (Augsburger
25 Dep. 72:18-23, 99:8-100:5.)

26 On October 23, 2010, S.B. threatened to "break
27 [Plaintiff's] . . . nose next time" and told an unidentified staff
28 member that she was "going to kill [Plaintiff]." (Davis Decl. ¶

1 18.) The record is silent on whether Plaintiff was assigned to
 2 S.B. or was working with another client on October 23, 2010. At
 3 some time thereafter, Plaintiff sought medical treatment for
 4 continued vertigo, ear pain and a cough, and "was taken off work
 5 until October 30, 2013." (Davis Decl. ¶ 19.) Prior to October 30,
 6 while Plaintiff was off work, Brooks informed Plaintiff that she
 7 would be required to follow the staffing assignments at the Madison
 8 House, which in turn prompted Plaintiff to request a transfer.
 9 (Davis Decl. ¶¶ 20-21.)

10 In response to the transfer request, the State essentially
 11 provided Plaintiff with three options.⁵ The first option was to
 12 remain at the Madison House and work with S.B. periodically.
 13 (Def.'s Mem. Supp. at 5; Mot. Summ. J. Hr'g Tr. 59-60.) The second
 14 option was to retain her MHTT status by working "for a period of
 15 time" at the Halsey House, which served the same type of clientele
 16 as the Madison House. (Davis Dep. 148:23-149:5, 150:3-7.) The
 17 third option was to demote without a decrease in pay and work as an
 18 HTT at the Hawthorne House, which was located right beside the
 19 Madison House, served less violent clientele and housed several
 20

21
 22 ⁵ During oral argument on the pending motion, the parties' counsel disagreed with the Court's suggestion that, at least on the record before the Court, Plaintiff was given three options. (Mot. Summ. J. Hr'g Tr. 59-60, Feb. 12, 2014) ("MR. LIPPOLD: You are misunderstanding. The choice [was that she] could remain at Madison House where she was with the aggressive clientele there that included S.B. and others, or demote and move over to Hawthorne House which was a home only for what are called medically fragile [clientele who] are not combative or aggressive. . . . MR. ROSE: I find I don't disagree with counsel.") Given the lack of an adequate explanation provided by the parties' counsel regarding the Halsey House option discussed below, the Court's characterization of the record remains unchanged.

1 familiar clients, including M.M. (Davis Dep. 149:5-21, 150:8-12,
2 150:20-23, 151:2-8.)

3 Plaintiff's preference was to stay at the Madison House, but
4 she was not amenable to being assigned to work with S.B. (Davis
5 Dep. 149:17-18; Davis Decl. ¶ 21.) Plaintiff therefore chose to
6 demote and work at the Hawthorne House based on the clientele and
7 continued convenience of the drive. (Davis Dep. 150:20-151:1.)
8 Bathke sent an email to Augsburger, Brooks and others on November
9 18, 2010, confirming that Plaintiff had officially demoted to an
10 HTT as of that day and her shift would remain unchanged at the
11 Hawthorne House. (Creighton Decl. Ex. 20 at 1.) Plaintiff
12 eventually transferred from the Hawthorne House to the Elliot House
13 (Davis Dep. 151:9-14) before she apparently tendered her verbal
14 resignation on February 29, 2012 (Davis Dep. 166:10-167:15).

15 Also on February 29, 2012, Plaintiff commenced an action
16 against Defendants in Multnomah County Circuit Court, alleging
17 claims for IIED, violation of the FMLA, and violation of her
18 substantive due process rights under the Fourteenth Amendment.
19 Service of process, however, was not effected until March 20, 2012.
20 On April 11, 2012, Defendants removed the action to federal court
21 on the basis of federal question jurisdiction. 28 U.S.C. § 1331.
22 Defendants filed the pending motion for summary judgment on
23 November 14, 2013.

24 **II. LEGAL STANDARD**

25 Summary judgment is appropriate "if pleadings, the discovery
26 and disclosure materials on file, and any affidavits show that
27 there is no genuine issue as to any material fact and that the
28 movant is entitled to judgment as a matter of law." FED. R. CIV.

1 P. 56(c). Summary judgment is not proper if factual issues exist
2 for trial. *Warren v. City of Carlsbad*, 58 F.3d 439, 441 (9th Cir.
3 1995).

4 The moving party has the burden of establishing the absence of
5 a genuine issue of material fact. *Celotex Corp. v. Catrett*, 477
6 U.S. 317, 323 (1986). If the moving party shows the absence of a
7 genuine issue of material fact, the nonmoving party must go beyond
8 the pleadings and identify facts which show a genuine issue for
9 trial. *Id.* at 324. A nonmoving party cannot defeat summary
10 judgment by relying solely on the allegations in the complaint, or
11 with unsupported conjecture or conclusory statements. *Hernandez v.*
12 *Spacelabs Med., Inc.*, 343 F.3d 1107, 1112 (9th Cir. 2003). Indeed,
13 "Rule 56(c) mandates the entry of summary judgment . . . against a
14 party who fails to make a showing sufficient to establish the
15 existence of an element essential to that party's case, and on
16 which that party will bear the burden of proof at trial." *Celotex*,
17 477 U.S. at 322.

18 The court must view the evidence in the light most favorable
19 to the nonmoving party. *Bell v. Cameron Meadows Land Co.*, 669 F.2d
20 1278, 1284 (9th Cir. 1982). All reasonable doubt as to the
21 existence of a genuine issue of fact should be resolved against the
22 moving party. *Hector v. Wiens*, 533 F.2d 429, 432 (9th Cir. 1976).
23 Where different ultimate inferences may be drawn, summary judgment
24 is inappropriate. *Sankovick v. Life Ins. Co. of N. Am.*, 638 F.2d
25 136, 140 (9th Cir. 1981). However, deference to the nonmoving
26 party has limits. The nonmoving party must set forth "specific
27 facts showing a genuine issue for trial." FED. R. Civ. P. 56(e).
28 The "mere existence of a scintilla of evidence in support of

1 plaintiff's positions [is] insufficient." *Anderson v. Liberty*
2 *Lobby, Inc.*, 477 U.S. 242, 252 (1986). Therefore, where "the
3 record taken as a whole could not lead a rational trier of fact to
4 find for the nonmoving party, there is no genuine issue for trial."
5 *Matsushita Elec. Indus. Co., Ltd. v. Zenith Radio Corp.*, 475 U.S.
6 574, 587 (1986) (internal quotation marks omitted).

7 III. DISCUSSION

8 A. IIED

9 Defendants argue that they are entitled to summary judgment on
10 Plaintiff's IIED claim for two reasons. First, Defendants argue
11 that Plaintiff's IIED claim against Brooks should be dismissed
12 because a tort claim cannot be maintained against a state employee
13 under the Oregon Tort Claims Act ("OTCA"). Second, Defendants
14 argue that the allegedly tortious conduct does not constitute an
15 extraordinary transgression of the bounds of socially tolerable
16 conduct.

17 To prevail on a claim for IIED, a plaintiff must demonstrate
18 that: "(1) the defendant intended to inflict severe emotional
19 distress on the plaintiff, (2) the defendant's acts were the cause
20 of the plaintiff's severe emotional distress, and (3) the
21 defendant's acts constituted an extraordinary transgression of the
22 bounds of socially tolerable conduct." *McGanty v. Staudenraus*, 321
23 Or. 532, 543 (1995) (quoting *Sheets v. Knight*, 308 Or. 220, 236
24 (1989)). "The intent element of IIED is satisfied not only where
25 the actor desires to inflict severe emotional distress, but also
26 where he knows that such distress is certain, or substantially
27 certain to result from his conduct." *Derry v. EDM Enters., Inc.*,
28 No. 09-CV-6187-TC, 2010 WL 3586739, at *4 (D. Or. Sept. 13, 2010).

1 Ultimately, however, "the trial court plays a gatekeeper role in
 2 evaluating the viability of an IIED claim by assessing the
 3 allegedly tortious conduct to determine whether it goes beyond the
 4 farthest reaches of socially tolerable behavior and creates a jury
 5 question on liability." *House v. Hicks*, 218 Or. App. 348, 358
 6 (2008).

7 Judge King's decision in *Estate of Pond v. Oregon*, 322 F.
 8 Supp. 2d 1161 (D. Or. 2004), demonstrates that Plaintiff's IIED
 9 claim against Brooks should be dismissed. In that case, the
 10 department of human services and three of its employees moved for
 11 summary judgment on the plaintiff's wrongful death and 42 U.S.C. §
 12 1983 claims. *Id.* at 1165. With respect to the wrongful death
 13 claim, the defendants argued that the OTCA "requires that a public
 14 body be substituted for state employees who are individually named
 15 as defendants in a tort claim." *Id.* Judge King agreed in that
 16 instance and dismissed the wrongful death claim against the
 17 employees, leaving the department of human services as the only
 18 remaining defendant. *Id.*; see also OR. REV. STAT. § 30.265(1)
 19 (stating that a "public body is subject to civil action for its
 20 torts and those of its officers, employees and agents acting within
 21 the scope of their employment or duties")

22 Plaintiff only disputes Defendants' argument regarding whether
 23 the allegedly tortious conduct goes beyond the farthest reaches of
 24 socially tolerable behavior. Accordingly, because "[t]he OTCA
 25 requires state-law tort claims asserted against state employees for
 26 acts or omissions committed within the scope of their employment or
 27 duties to be asserted against the State," *Hurley v. Horizon*
 28 *Project, Inc.*, No. CV-08-1365-ST, 2009 WL 5511205, at *2 (D. Or.

1 Dec. 3, 2009), Plaintiff's IIED claim against Brooks should be
2 dismissed, see *Estate of Pond*, 322 F. Supp. 2d at 1165 (same).

3 As to Plaintiff's IIED claim against the State, the Court
4 agrees with Plaintiff that there is a genuine issue of fact as to
5 whether the allegedly tortious conduct went beyond the farthest
6 reaches of socially tolerable behavior. Defendants are correct
7 that S.B. physically attacked other employees at the Madison House.
8 Defendants are also correct that, prior to the July 19, 2010
9 incident, Plaintiff received training and signed a job description
10 acknowledging her awareness of the risks associated with the MHTT
11 position and the Madison House's new clientele. Nevertheless,
12 there simply is nothing in the record that adequately explains why
13 Plaintiff was assigned to work with S.B. on October 20, 2010, just
14 four days after returning from the second period of FMLA leave, or
15 why Plaintiff essentially had to decide whether she was willing to
16 accept periodic assignments with S.B. prior to October 30, 2010,
17 absent a requirement that such assignments were necessary at that
18 time.

19 Indeed, the record evidence suggests, among other things,
20 that: (1) the State had concerns about whether certain unnamed
21 staff met the height/ weight ratio necessary to physically restrain
22 S.B.; (2) the July 19, 2010 incident and subsequent anxiety attack
23 had limited Plaintiff to roughly ten days of work prior to the
24 October 20, 2010 assignment; (3) the exhibitions of physically
25 aggressive behavior apparently were far more frequent when S.B. was
26 assigned to work with Plaintiff; (4) Plaintiff requested that she
27 never be assigned to work with S.B. after the July 19, 2010
28 incident that sent her to the emergency room for treatment of

1 contusions and an apparent broken nose; (5) Plaintiff agreed to
 2 return to the Madison House on October 16, 2010, based in part on
 3 an apparent understanding that she would not be assigned to work in
 4 close proximity to S.B.; (6) Brooks assigned Plaintiff to work with
 5 S.B. on October 20, 2010, even though Augsburger claims that she
 6 did not require Brooks to do so; and (7) between October 23 and
 7 October 30, 2010, while Plaintiff was off work for a third time,
 8 Brooks proceeded to inform Plaintiff that she would be required to
 9 follow the staffing assignments at the Madison House, which in turn
 10 prompted Plaintiff to request a transfer.⁶

11 As the Oregon Court of Appeals explained in *House*,

12 [i]t is for the court to determine, in the first
 13 instance, whether the defendant's conduct may reasonably
 14 be regarded as so extreme and outrageous as to permit
 15 recovery, or whether it is necessarily so. Where
 16 reasonable [persons] may differ, it is for the jury,
 subject to the control of the court, to determine
 whether, in the particular case, the conduct has been
 sufficiently extreme and outrageous to result in
 liability.

17 *House*, 218 Or. App. at 358 (citation omitted); see also *Miller v.*
 18 *Deschutes Valley Water Dist.*, 663 F. Supp. 2d 1001, 1009 (D. Or.
 19 2009) ("If the minds of reasonable men would not differ on the
 20 subject, the court is obliged to grant summary judgment.")
 21 (emphasis added). A common thread in successful claims for IIED

22
 23 ⁶ By the Court's count, S.B. was physically aggressive with
 Plaintiff on seven out of the thirteen occasions they were assigned
 to work together prior to October 20, 2010. Specifically, S.B. was
 physically aggressive on December 28, 2009, January 9, 2010,
 January 26, 2010, February 2, 2010, February 13, 2010, June 8,
 2010, and June 13, 2010. S.B. was not physically aggressive on
 January 19, 2010, March 10, 2010, March 22, 2010, April 20, 2010,
 May 9, 2010, and June 6, 2010. In addition, S.B. was physically
 aggressive with Plaintiff on three occasions (December 29, 2009,
 January 17, 2010, and July 19, 2010) when they were not assigned to
 work together.

1 is the existence of a special relationship between the
2 parties creating a heightened duty of care. An Oregon
3 appellate court recently acknowledged that, historically,
4 the existence of a special relationship was a defining
5 factor in every successful claim of [IIED] in this state,
6 a fact which remains generally true even in recent cases.
7 The court specifically noted that 'courts are more likely
8 to categorize conduct as outrageous when it is undertaken
9 by the dominant party in a legal relationship.' The
types of relationships that have been held to support a
claim for [IIED] are those that 'impose on the defendant
a greater obligation to refrain from subjecting the
victim to abuse, fright, or shock than would be true in
arms-length encounters among strangers' such as
'employer-employee, physician-patient, counselor-client,
landlord-tenant, debtor-creditor, or government
officer-citizen.'

10 *Giulio v. BV CenterCal, LLC*, No. 3:09-CV-482-AC, 2011 WL 3860443,
11 at *15 (D. Or. Aug. 10, 2011) (internal citations omitted); see
12 also *Delaney v. Clifton*, 180 Or. App. 119, 131 n.7 (2002) (noting
13 that supervisor-employee is another type of special relationship in
14 which an IIED claim has been successfully pleaded or proved).

15 Because reasonable minds could differ on the subject, it
16 should be left for the jury, subject to the control of the Court,
17 to determine whether the conduct in this case has been sufficiently
18 extreme and outrageous to result in liability. That is especially
19 true in light of the fact that the supervisor-employee relationship
20 between Brooks and Plaintiff created a heightened duty of care.
21 Yet, Brooks assigned Plaintiff to work with S.B., who was
22 approaching eighteen years of age and who weighed nearly 300
23 pounds, within a few days of Plaintiff returning from a second
24 leave period necessitated by an attack where S.B. took Plaintiff to
25 the ground and punched her in the face repeatedly. It was also
26 within four days of an agreement apparently being reached
27 concerning Plaintiff's future assignments with S.B. Accordingly,
28

1 the Court recommends denying the Defendants' motion for summary
 2 judgment on Plaintiff's IIED claim against the State.

3 **B. FMLA**

4 Before turning to the merits, some clarification is necessary
 5 regarding appropriate characterization of Plaintiff's FMLA claim.
 6 The Ninth Circuit has recognized two theories for recovery on FMLA
 7 claims under 29 U.S.C. § 2615(a), the "retaliation" or
 8 "discrimination" theory and the "entitlement" or "interference"
 9 theory. *Sanders v. City of Newport*, 657 F.3d 772, 777 (9th Cir.
 10 2011); see also *Smith v. Diffee Ford-Lincoln-Mercury, Inc.*, 298
 11 F.3d 955, 960 (10th Cir. 2002) ("Courts have recognized two
 12 theories for recovery on FMLA claims under § 2615, the retaliation
 13 or discrimination theory and the entitlement or interference
 14 theory.")

15 Under § 2615(a)(1), "[i]t shall be unlawful for any employer
 16 to interfere with, restrain, or deny the exercise of or the attempt
 17 to exercise, any right provided under [the FMLA]." 29 U.S.C. §
 18 2615(a)(1). Claims under this provision are known as entitlement
 19 or interference claims. *Sanders*, 657 F.3d at 778.⁷ The Ninth
 20 Circuit has declined to apply the *McDonnell Douglas Corp. v. Green*,
 21 411 U.S. 792 (1973), burden shifting framework to FMLA interference
 22 claims, choosing instead to allow an employee to prove such a claim
 23 "by using either direct or circumstantial evidence, or both."
 24 *Sanders*, 657 F.3d at 778 (citation omitted). When analyzing
 25 interference claims, the employer's intent is simply irrelevant to
 26 a determination of liability. See *Edgar v. JAC Prods., Inc.*, 443

27
 28 ⁷ The Court will refer to claims under § 2615(a)(1) as
 interference claims.

1 F.3d 501, 507 (6th Cir. 2006) ("The employer's intent is not a
 2 relevant part of the [interference] inquiry under § 2615.");
 3 *Branstetter v. Gen. Parts Distribution, LLC*, No. 3:12-CV-02328-KI,
 4 2013 WL 6780672, at *6 (D. Or. Dec. 19, 2013) ("An employer's
 5 intent is irrelevant to a determination of liability in an
 6 interference claim.").

7 Under § 2615(a)(2), "[i]t shall be unlawful for any employer
 8 to discharge or in any other manner discriminate against any
 9 individual for opposing any practice made unlawful by [the FMLA]."
 10 29 U.S.C. § 2615(a)(2). Claims under this provision are known as
 11 discrimination or retaliation claims. *Sanders*, 657 F.3d at 777.⁸
 12 Although the Ninth Circuit has not spoken directly on the issue,
 13 "other circuits and courts in this district have adopted the
 14 *McDonnell Douglas* burden shifting framework when analyzing FMLA
 15 retaliation claims." *Schultz v. Wells Fargo Bank, Nat'l Ass'n*, No.
 16 3:11-cv-1467-SI, 2013 WL 4782157, at *14 (D. Or. Sept. 5, 2013).
 17 Under that framework, once the employee makes a *prima facie* showing
 18 of retaliation, the burden shifts to the employer to articulate a
 19 legitimate, non-retaliatory explanation for the adverse employment
 20 action. *Id.* If the employer does so, the employee must offer
 21 evidence to demonstrate that the explanation was a mere pretext for
 22 retaliation. *Id.*

23 The Ninth Circuit has "clearly determined that § 2615(a)(2)
 24 applies only to employees who oppose employer practices made
 25 unlawful by FMLA, whereas, § 2615(a)(1) applies to employees who
 26 simply take FMLA leave and as a consequence are subjected to

27
 28 ⁸ The Court will refer to claims under § 2615(a)(2) as
 retaliation claims.

1 unlawful actions by the employer." *Xin Liu v. Amway Corp.*, 347
 2 F.3d 1125, 1133 n.7 (9th Cir. 2003); see also *Bachelder v. Am. W.*
 3 *Airlines, Inc.*, 259 F.3d 1112, 1124 (9th Cir. 2001) (visiting
 4 negative consequences on an employee because of the use of FMLA
 5 leave is covered under § 2615(a)(1), the provision pertaining to
 6 interference with the exercise of substantive rights); see also
 7 *Schultz*, 2013 WL 4782157, at *8 (stating that "[w]hen a plaintiff
 8 alleges [the visitation of negative consequences] for exercising
 9 his or her rights under the FMLA, in the Ninth Circuit such a claim
 10 is properly analyzed as an interference claim").⁹

11 The parties' appropriately focus their arguments on whether
 12 Plaintiff was subjected to an adverse employment action. However,
 13 they also refer to Plaintiff's claim as a retaliation claim and
 14 cite to cases describing the legal standard applicable to §
 15 2615(a)(2). See, e.g., *Price v. Multnomah County*, 132 F. Supp. 2d
 16 1290, 1296 (D. Or. 2001) (setting forth the prima facie elements of
 17 a retaliation claim and the concomitant *McDonnell Douglas* burden
 18 shifting framework). Given the distinctions between retaliation
 19 and interference claims, addressing whether Ninth Circuit case law
 20 supports the parties' characterization is imperative in the FMLA
 21 context.

22
 23 ⁹ In her complaint, Plaintiff alleges that "Defendants
 24 violated FMLA by retaliating and/or discriminating against and
 25 interfering with Plaintiff's exercise of her rights under FMLA."
 26 (Compl. ¶ 26.) But paragraphs fourteen and fifteen of the
 27 complaint clearly allege the interference claims described above.
 28 (Compl. ¶¶ 14-15) ("The fourth day after Plaintiff return[ed] from
 FMLA leave . . . Brooks informed [her] that she would be working
 with SB that day and from there on out. . . . When Plaintiff
 requested to be protected . . . she was told that she must take a
 demotion").

1 Reviewing the complaint and Plaintiff's opposition brief
2 demonstrates that Plaintiff is actually bringing an interference
3 claim under § 2615(a)(1). It is alleged that Defendants: (1)
4 placed Plaintiff's safety in jeopardy by forcing her to work with
5 S.B. after returning from FMLA leave; and (2) forced Plaintiff to
6 accept a demotion—which did not include a decrease in pay—after
7 returning from FMLA leave. The facts of this case, according to
8 Plaintiff, "support an inference that these adverse actions were
9 taken because of [her] leave." (Pl.'s Opp'n at 28.) Plaintiff
10 goes on to state that a reasonable jury could conclude that she
11 "was, in effect, punish[ed] for . . . taking protected leave," and
12 that she and other employees were dissuaded from exercising their
13 rights under the FMLA. (Pl.'s Opp'n at 28.)

14 As Plaintiff emphasized in her opposition brief, "[e]mployers
15 cannot use the taking of FMLA leave as a negative factor in
16 employment actions, such as hiring, promotions or disciplinary
17 actions." *Bachelder*, 259 F.3d at 1122, quoting 29 C.F.R. §
18 825.220(c). That regulation promulgated by the Department of Labor
19 ("DOL") "is a reasonable interpretation of [§ 2615(a)(1)]'s
20 prohibition on 'interference with' and 'restraint of' [an]
21 employee's rights under the FMLA." *Id.* at 1122-23. Proceeding
22 under such a theory requires the employee to show by a
23 preponderance of the evidence that: "(1) the plaintiff took or
24 requested protected leave; (2) the employer subjected the plaintiff
25 to an adverse employment action; and (3) the taking of or
26 requesting protected leave was a 'negative factor' in the adverse
27 employment decision." *Schultz*, 2013 WL 4782157, at *8 (citation
28 omitted); see also *Amway*, 347 F.3d at 1135-36 (employee must show

1 "by a preponderance of the evidence that her taking of
2 FMLA-protected leave constituted a negative factor in the [adverse
3 employment action]).

4 Defendants move for summary judgment solely on the ground that
5 Plaintiff cannot establish the second element of a *prima facie*
6 case—whether she was subjected to an adverse employment action.
7 Defendants' argument is essentially that no adverse employment
8 actions were taken; rather, Plaintiff was reinstated after
9 returning from FMLA leave and subsequently made the decision to no
10 longer work with S.B., which left her with three options. Since
11 Plaintiff chose to demote to an HTT and work at the Hawthorne
12 House, Defendants argue there cannot be a material issue of fact as
13 to whether Plaintiff was subjected to an adverse employment action,
14 as opposed to Plaintiff making a decision that was truly of her own
15 volition.

16 "The Ninth Circuit defines adverse employment actions
17 broadly," *Shepard v. City of Portland*, 829 F. Supp. 2d 940, 960 (D.
18 Or. 2011), to include "any . . . action that would be reasonably
19 likely to deter employees from engaging in protected activity,"
20 *Bushfield v. Donahoe*, 912 F. Supp. 2d 944, 955 (D. Idaho 2012).
21 Some illustrative examples of actions that have fallen into that
22 category are:

23 a lateral transfer, or refusing a lateral transfer;
24 undeserved negative performance evaluations or job
25 references if motivated by retaliatory animus and not
26 promptly corrected; being excluded from meetings,
27 seminars and positions that would have made plaintiff
more eligible for salary increases; being denied
secretarial support; eliminating job responsibilities;
and failure to be promoted or be considered for
promotion.

1 *Shepard*, 829 F. Supp. 2d at 960 (citations omitted). Another
 2 illustrative example is a change in work schedule. *Bushfield*, 912
 3 F. Supp. 2d at 955; *Garmon v. Plaid Pantries*, No. 3:12-CV-1554-AC,
 4 2013 WL 3791433, at *19 (D. Or. July 19, 2013) (stating that "a
 5 more burdensome work schedule" may constitute an adverse employment
 6 action under the FMLA).

7 Viewing the evidence in the light most favorable to Plaintiff
 8 and drawing all reasonable inferences in her favor, the Court
 9 concludes there is a material issues of fact as to whether
 10 Plaintiff was subjected to an adverse employment action. Plaintiff
 11 returned from the second period of FMLA leave taken because of the
 12 July 19, 2010 incident and subsequent anxiety attack on October 16,
 13 2010. Plaintiff's work schedule was altered four days later by
 14 Brooks, even though Augsburger claims she did not require that
 15 Brooks assign Plaintiff to work with S.B. This was against a
 16 backdrop of previously not assigning Plaintiff to work with S.B.
 17 after the July 19, 2010 incident, and an apparent understanding
 18 between the parties that such assignments would no longer take
 19 place in the future. (Davis Decl. ¶¶ 14-17.)

20 In addition, between October 23 and October 30, 2010, while
 21 Plaintiff was off work for a third time, Brooks proceeded to inform
 22 Plaintiff that she would be required to follow the staffing
 23 assignments at the Madison House (i.e., periodic assignments to
 24 work with S.B.), which in turn prompted Plaintiff to request a
 25 transfer because she "feared working with S.B. and Brooks insisted
 26 on scheduling [Plaintiff] with S.B. despite [Plaintiff's] . . .
 27 safety concerns." (Davis Decl. ¶ 21.) Plaintiff eventually
 28

1 demoted to an HTT after the State provided her with certain
2 options.

3 Applying the summary judgment standard, the Court concludes
4 that a reasonable jury could find that the State subjected
5 Plaintiff to an adverse employment action; or put another way, that
6 the State attached negative consequences to the exercise of
7 protected rights and effectively forced Plaintiff to request a
8 transfer from the Madison House. *See Murphy v. Ohio State Univ.*,
9 --- F. App'x ---, 2013 WL 5878184, at *6 (6th Cir. 2013) ("In
10 addition to terminations and pay reductions, demotions and negative
11 changes in job responsibilities [or work schedule] generally are
12 sufficient to create a genuine issue of material fact as to whether
13 the plaintiff suffered an adverse employment action"); *Mondaine v.*
14 *Am. Drug Stores, Inc.*, 408 F. Supp. 2d 1169, 1202 (D. Kan. 2006)
15 ("If an employer provides a strong disincentive against an employee
16 taking FMLA leave, it violates [§] 2615(a)(1) of the FMLA.")
17 Accordingly, the Court recommends denying Defendants' motion for
18 summary judgment on Plaintiff's FMLA claim.

19 **C. Substantive Due Process**

20 Plaintiff's Fourteenth Amendment substantive due process claim
21 appears to be brought against Brooks in her individual capacity.
22 (Compl. ¶¶ 3, 8, 30-32.) "To establish that a state official is
23 personally liable in an action under 42 U.S.C. § 1983, a plaintiff
24 must show that the official, acting under color of state law,
25 caused the deprivation of a federal right." *Spoklie v. Montana*,
26 411 F.3d 1051, 1060 (9th Cir. 2005) (internal quotations omitted).
27 The parties do not dispute that Brooks was acting under color of
28 state law.

1 Generally speaking, the Due Process Clause of the Fourteenth
2 Amendment does not confer any affirmative right to governmental
3 aid, nor does it impose a duty on the state to protect individuals
4 from third parties. *Patel v. Kent Sch. Dist.*, 648 F.3d 965, 971
5 (9th Cir. 2011). But there are two exceptions to this general
6 rule. *Id.* The first exception arises when there is a "special
7 relationship" between the plaintiff and the state. *Id.* (citing
8 *DeShaney v. Winnebago County Dep't of Soc. Servs.*, 489 U.S. 189,
9 198-202 (1989)). The second exception arises when the state
10 affirmatively places the plaintiff in danger by acting with
11 "deliberate indifference" to a "known or obvious danger." *Id.* at
12 971-72 (citing *L.W. v. Grubbs*, 92 F.3d 894, 900 (9th Cir. 1996)).

13 Plaintiff's § 1983 claim is based on *Grubbs* and the state-
14 created danger exception. (Pl.'s Opp'n at 19.) To survive summary
15 judgment, the record must support, among other things, the
16 contention that Brooks acted with deliberate indifference to a
17 known or obvious danger. See *Patel*, 648 F.3d at 974. Brooks must
18 have recognized an unreasonable risk and actually intended to
19 expose Plaintiff to such risks without regard to the consequences.
20 *Id.* That is to say, Brooks must have "know[n] that something [wa]s
21 going to happen but ignore[d] the risk and expose[d] [Plaintiff] to
22 it." *Id.* (quoting *Grubbs*, 92 F.3d at 900). *Grubbs* did not add "a
23 requirement that the conscience of the federal judiciary be shocked
24 by deliberate indifference Deliberate indifference to a
25 known, or so obvious as to imply knowledge of, danger, by a
26 supervisor who participated in creating the danger, is enough."
27 *Grubbs*, 92 F.3d at 900.

28

1 The Ninth Circuit has allowed deliberate indifference theories
2 to proceed to trial in only a few § 1983 opinions post-*Grubbs*. See
3 *Patel*, 648 F.3d at 974 (collecting cases). In *Penilla v. City of*
4 *Huntington Park*, 115 F.3d 707 (9th Cir. 1997), for example, the
5 first responders to a 911 call were two police officers who found
6 a man on his front porch in grave need of medical care. *Id.* at 708.
7 For some unknown reason, the officers canceled the request for
8 paramedics and then moved the man's body inside his house, where he
9 ended up dying of respiratory failure. *Id.* The Ninth Circuit found
10 a genuine issue of fact on deliberate indifference and allowed the
11 § 1983 claim to proceed to trial. See *id.* at 710-11; see also
12 *Patel*, 648 F.3d at 975 (citing *Penilla* for the same proposition).

13 In *Munger v. City of Glasgow Police Department*, 227 F.3d 1082
14 (9th Cir. 2000), officers arrived at a local bar after the
15 bartender requested assistance with a belligerent patron. *Id.* at
16 1084. Even though the outside temperature was eleven degrees, with
17 a windchill factor of minus 20-25 degrees, and even though the
18 patron was only wearing a t-shirt and jeans, *id.* at 1084, the
19 officers affirmatively ejected the patron from the bar, prevented
20 him driving his truck or reentering the bar, and were aware that he
21 was walking away from the nearby open establishments, *id.* at 1087.
22 The next day, the patron's body was found curled up in an alleyway
23 two blocks from the bar. *Id.* at 1085. The patron had died from
24 hypothermia. *Id.* Applying the summary judgment standard, the
25 Ninth Circuit concluded that there was a triable state-created
26 danger claim. *Id.* at 1087.

27 In *Kennedy v. City of Ridgefield*, 439 F.3d 1055 (9th Cir.
28 2006), a family reported to police that their young daughter had

1 been abused a neighbors' mentally unstable son. *Id.* at 1057. When
2 the officer was told that the family feared violent repercussions,
3 he promised to provide a warning before he spoke with the neighbors
4 about the allegations. *Id.* at 1058, 1063. The officer did not
5 follow through on that promise, nor did he follow through on a
6 promise to provide additional patrol cars on the night he spoke
7 with the neighbors. *Id.* at 1058. The neighbor boy broke into the
8 family's home that night and shot both parents. *Id.* That evidence
9 created a genuine issue of fact as to whether the officer acted
10 with deliberate indifference. *Id.* at 1064-65.

11 Plaintiff essentially claims that her Fourteenth Amendment due
12 process rights were violated by Brooks because she placed Plaintiff
13 in a position of enhanced danger, and as a result, Plaintiff was
14 attacked by S.B. on July 19, 2010. (Compl. ¶ 30) ("Defendant
15 Brooks acted with indifference to the danger she was creating and
16 caused Plaintiff to be assaulted. Defendant Brooks acted with
17 deliberate indifference when she engaged in this conduct. This
18 conduct violated Plaintiff's [substantive due process] rights").
19 The state-created danger exception creates the potential for § 1983
20 liability where, in addition to certain other requirements, a state
21 actor creates or exposes an individual to a danger which he or she
22 would not have otherwise faced. *Campbell v. Wash. Dep't of Soc. &*
23 *Health Servs.*, 671 F.3d 837, 845 (9th Cir. 2011). The present
24 case, however, more closely resembles those cases in which the
25 Ninth Circuit has declined to find a state-created danger
26 exception.

27 *Johnson v. City of Seattle*, 474 F.3d 634 (9th Cir. 2007), is
28 an illustrative example. *Johnson* concerned a five-day Mardi Gras

1 celebration in Seattle's Pioneer Square District that unexpectedly
2 evolved into a significant public safety threat. *Id.* at 636. When
3 the crowd again became violent on the fifth and final evening, the
4 police decided to forgo a recently developed operational plan and
5 not insert themselves into the crowd, fearing that would only
6 incite greater panic and violence. *Id.* at 636-37. The plaintiffs
7 were beaten and injured by fellow party-goers at various times
8 prior to the police ultimately deciding to use chemical agents to
9 disperse the crowd because of increasing violence. *Id.* at 637.

10 The plaintiffs in *Johnson* claimed that their Fourteenth
11 Amendment due process rights were violated by, among others, the
12 police chief because his actions "affirmatively plac[ed] them in a
13 position of enhanced danger." *Id.* at 635. In affirming the
14 district court's grant of summary judgment, the Ninth Circuit
15 distinguished prior opinions that found a state-created danger
16 exception, stating:

17 In contrast to the plaintiffs in . . . *Penilla, Munger, Grubbs and Kennedy*, the Pioneer Square Plaintiffs
18 have failed to offer evidence that the Defendants engaged
19 in affirmative conduct that enhanced the dangers the
20 Pioneer Square Plaintiffs exposed themselves to by
21 participating in the Mardi Gras celebration. The
22 decision to switch from a more aggressive operation plan
23 to a more passive one was not affirmative conduct that
24 placed the Pioneer Square Plaintiffs in danger, because
25 it did not place them in any worse position than they
26 would have been in had the police not come up with any
27 operational plan whatsoever.

28

29 . . . [T]he fact that the police at one point had an
30 operational plan that might have more effectively
31 controlled the crowds at Pioneer Square does not mean
32 that an alteration to this plan was affirmative conduct
33 that placed the Pioneer Square Plaintiffs in danger. The
34 police did not communicate anything about their plans to
35 the Pioneer Square Plaintiffs prior to the incident. Even
36 if proved not the most effective means to combat the

1 violent conduct of private parties, the more passive
2 operational plan that the police ultimately implemented
3 did not violate substantive due process because it placed
4 the Pioneer Square Plaintiffs in no worse position than
5 that in which they would have been had the Defendants not
6 acted at all.

7 *Id.* at 641 (citation, internal quotation marks and brackets
8 omitted).

9 Similarly, in this case, Plaintiff has failed to offer
10 sufficient evidence that Brooks (or any other employee of the
11 State, for that matter) engaged in affirmative conduct that
12 enhanced the dangers Plaintiff exposed herself to, up to and
13 including July 19, 2010. It is undisputed that Plaintiff received
14 extensive training and briefing on the Madison House's new
15 clientele, including S.B., and Plaintiff signed a job description
16 indicating that the MHTT position "would include working with
17 combative, unpredictable, argumentative, aggressive, and
18 developmentally disabled children or adults who may be a danger to
19 themselves or others on a daily basis." (Davis Dep. 91:21-24,
20 92:9-15.) Plaintiff understood that "was part of [the] job" (Davis
21 Dep. 92:16-18) and that all of the new clientele could potentially
22 target an MHTT—which "at times meant hitting, hair pulling,
23 kicking, [and] biting" (Davis Dep. 94:1-9).

24 It is also undisputed that S.B. was willing and able to
25 physically attack Plaintiff on any given day at the Madison House
26 whether they were assigned to work together or not. (Davis Dep.
27 106:23-25.) And that's precisely what happened on July 19, 2010,
28 even though Plaintiff claims that Brooks's deliberate indifference
caused her to be assaulted. Setting aside the fact that Plaintiff
was still willing to work with S.B. as of July 19, 2010 (Davis Dep.

1 115:3-11), and the fact that Plaintiff concedes she was not trained
2 to put herself in between two clients, Brooks's acts are not akin
3 to those found in cases where the Ninth Circuit has recognized a
4 state-created danger. See, e.g., *L.W. v. Grubbs*, 974 F.2d 119,
5 120-22 (9th Cir. 1992) (state hospital supervisor assigning nurse,
6 who was led to believe that she would not be required to work alone
7 with violent sex offenders, to work alone with a known, violent sex
8 offender who was not qualified for the assigned position and raped
9 the nurse).

10 Whatever liability Defendants may face should come from state
11 tort law or the FMLA, not the Fourteenth Amendment, because, prior
12 to July 19, 2010, Defendants placed Plaintiff in no worse position
13 than that in which she would have been had they not acted at all.
14 *Cf. Patel*, 648 F.3d at 976 (making an analogous observation). The
15 Court therefore recommends granting Defendants' motion for summary
16 judgment on Plaintiff's Fourteenth Amendment substantive due
17 process claim.

IV. CONCLUSION

19 For the reasons stated, the Court recommends that Defendants'
20 motion (Docket No. 44) for summary judgment be granted in part and
21 denied in part.

22 | //

23 | //

V. SCHEDULING ORDER

25 The Findings and Recommendation will be referred to a district
26 judge. Objections, if any, are due **May 12, 2014**. If no objections
27 are filed, then the Findings and Recommendation will go under
28 advisement on that date. If objections are filed, then a response

1 is due **May 29, 2014**. When the response is due or filed, whichever
2 date is earlier, the Findings and Recommendation will go under
3 advisement.

4 Dated this 22nd day of April, 2014.

5 /s/ Dennis J. Hubel

6 DENNIS J. HUBEL
7 United States Magistrate Judge

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28